

# **Explainable AI for cancer precision medicine**

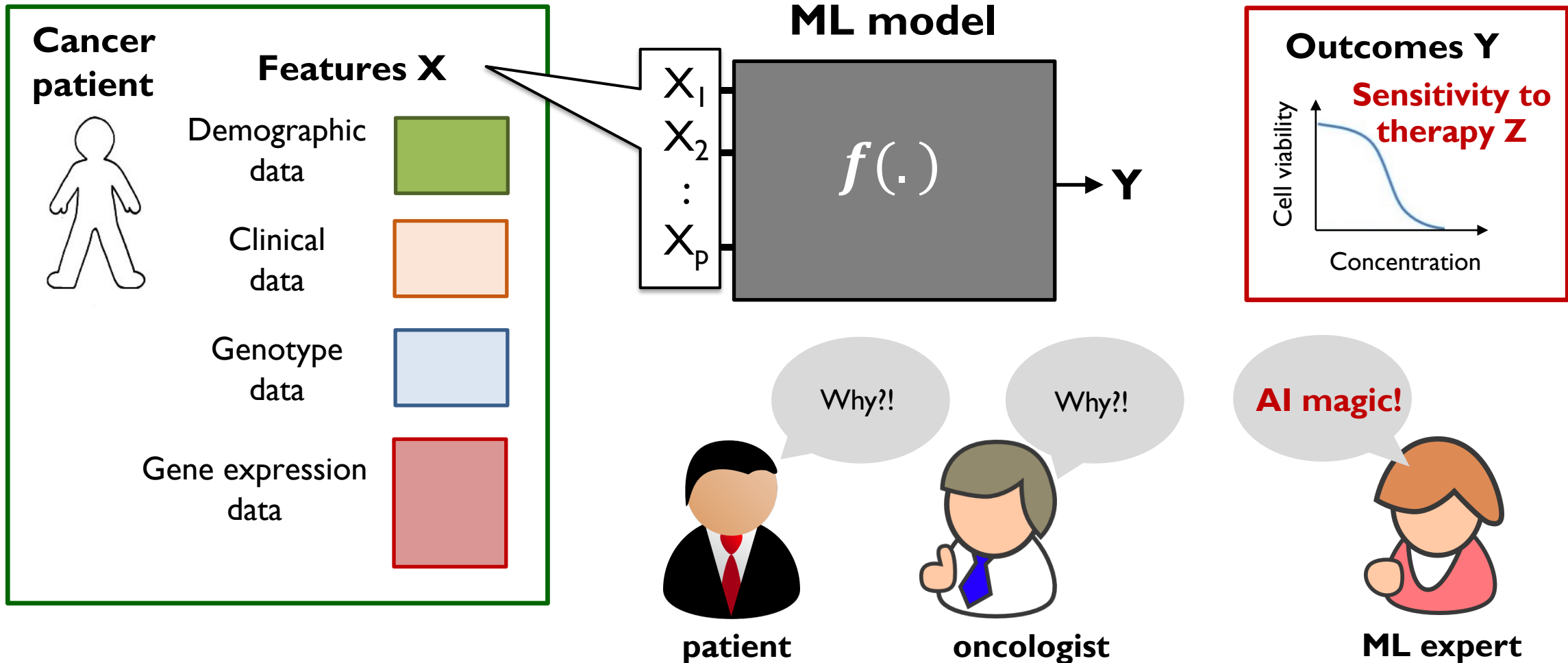
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**Su-In Lee**

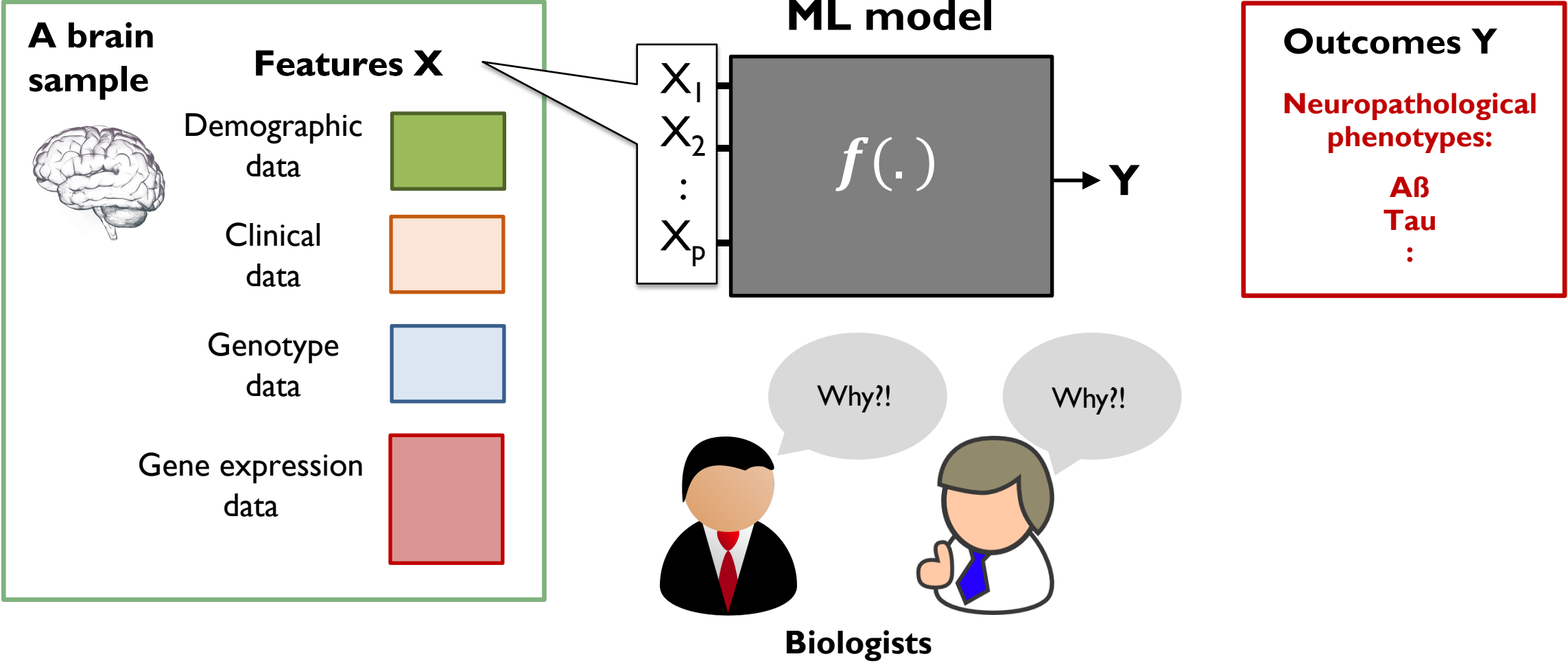
**Paul G.Allen School of Computer Science & Engineering**

**University of Washington, Seattle**

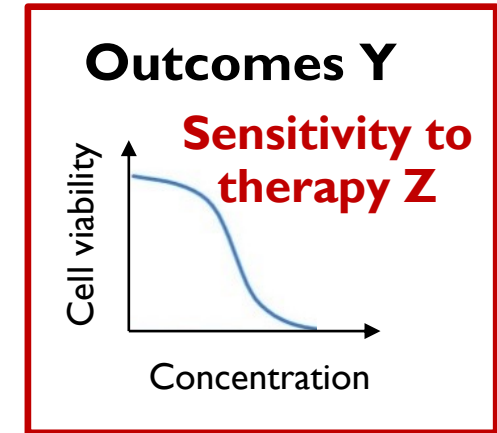
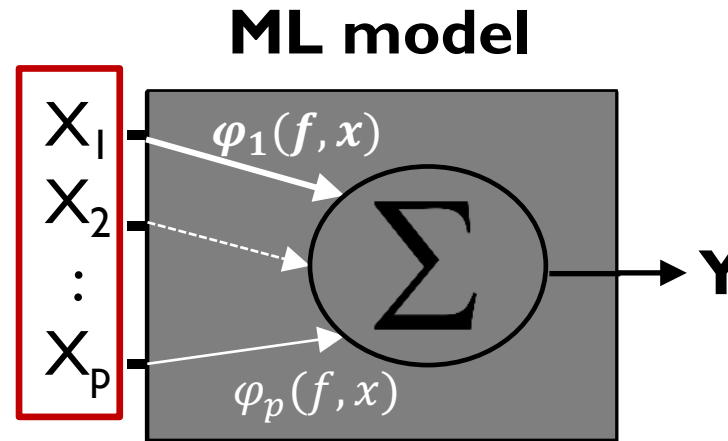
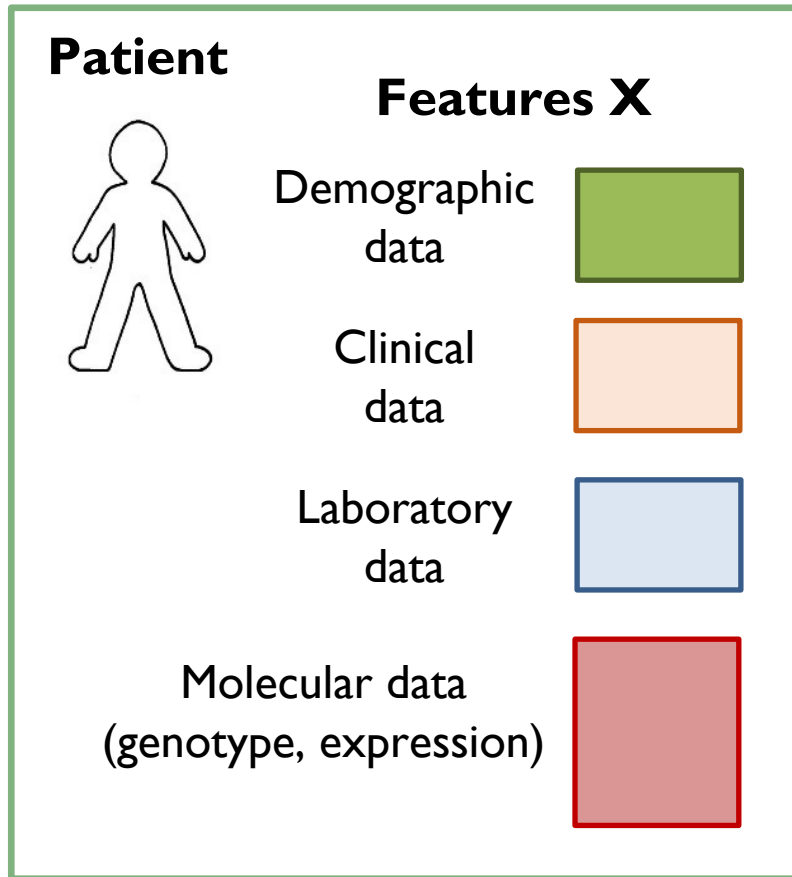
# Accurately predicting a clinical outcome is important but the key question is *why*



# Identifying predictive markers is important but the key question is *why*



# Explainable AI for clinical genomics

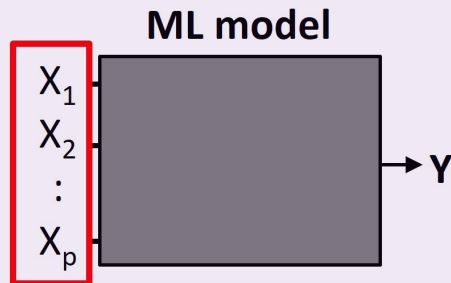


- **Explainability** is more important than accuracy.
  - How to learn or select features that are interpretable?
  - Which features contributed to a certain prediction and how?
  - How to make biological or clinical sense of a black-box model?

# Explainable AI for biology and health

## Today's Talk

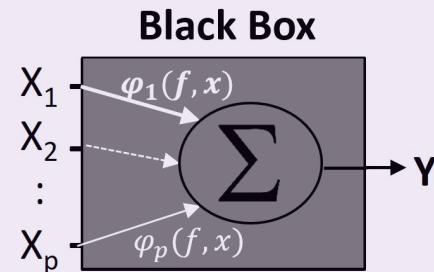
**Decision support systems  
in hospitals**



Learn interpretable features

**Cancer biology and  
precision medicine**

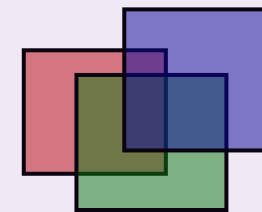
Developing explainable AI techniques



Make interpretable predictions

**Alzheimer's disease  
therapeutic target discovery**

Explanation priors



Learn explainable models

**Bedside applications**

**Basic biology**

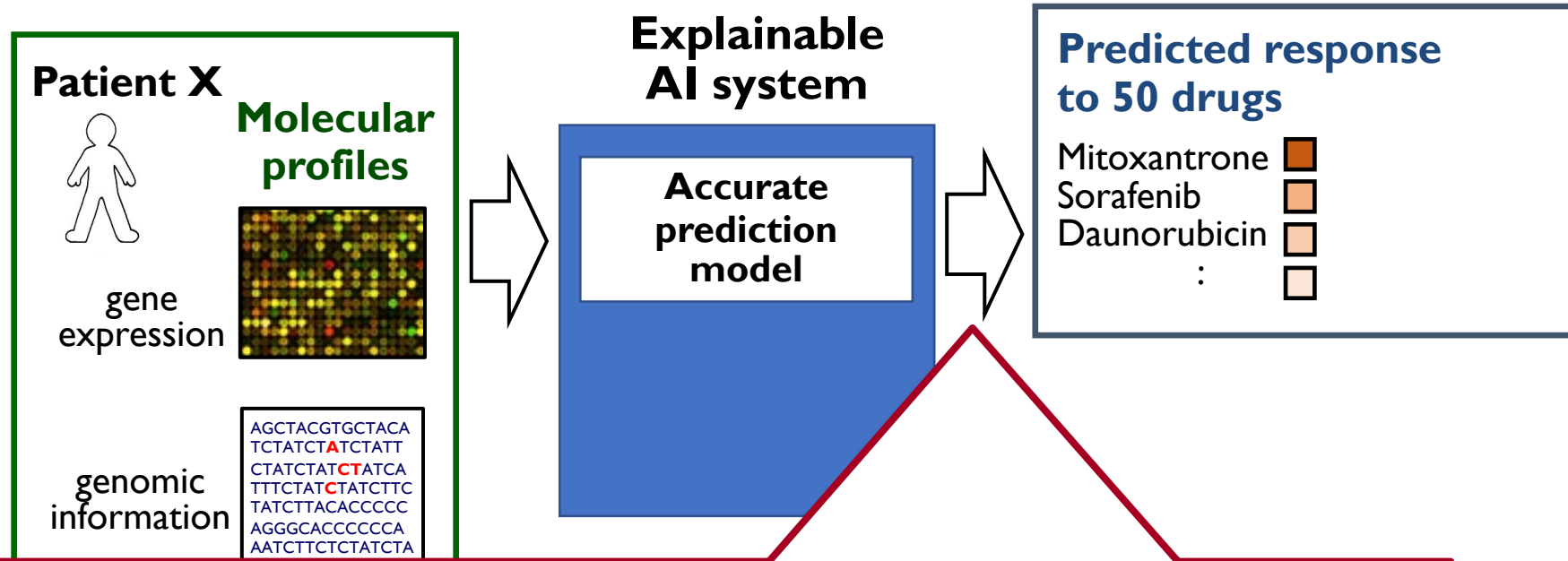
# Explainable AI for cancer precision medicine

- Acute myeloid leukemia (AML)

- Cancer of the blood and bone marrow cells
- 5 year survival rate: 26%

- Chemotherapy

- >100 anti-cancer (62 FDA approved)
- Standard therapy personalized.



■ Identify **explainable gene expression markers** by jointly learning the model with prior knowledge on genes' driver potential (based on genomic, transcriptomic, and functional data).

# Explainable AI for cancer precision medicine

- Acute myeloid leukemia (AML)

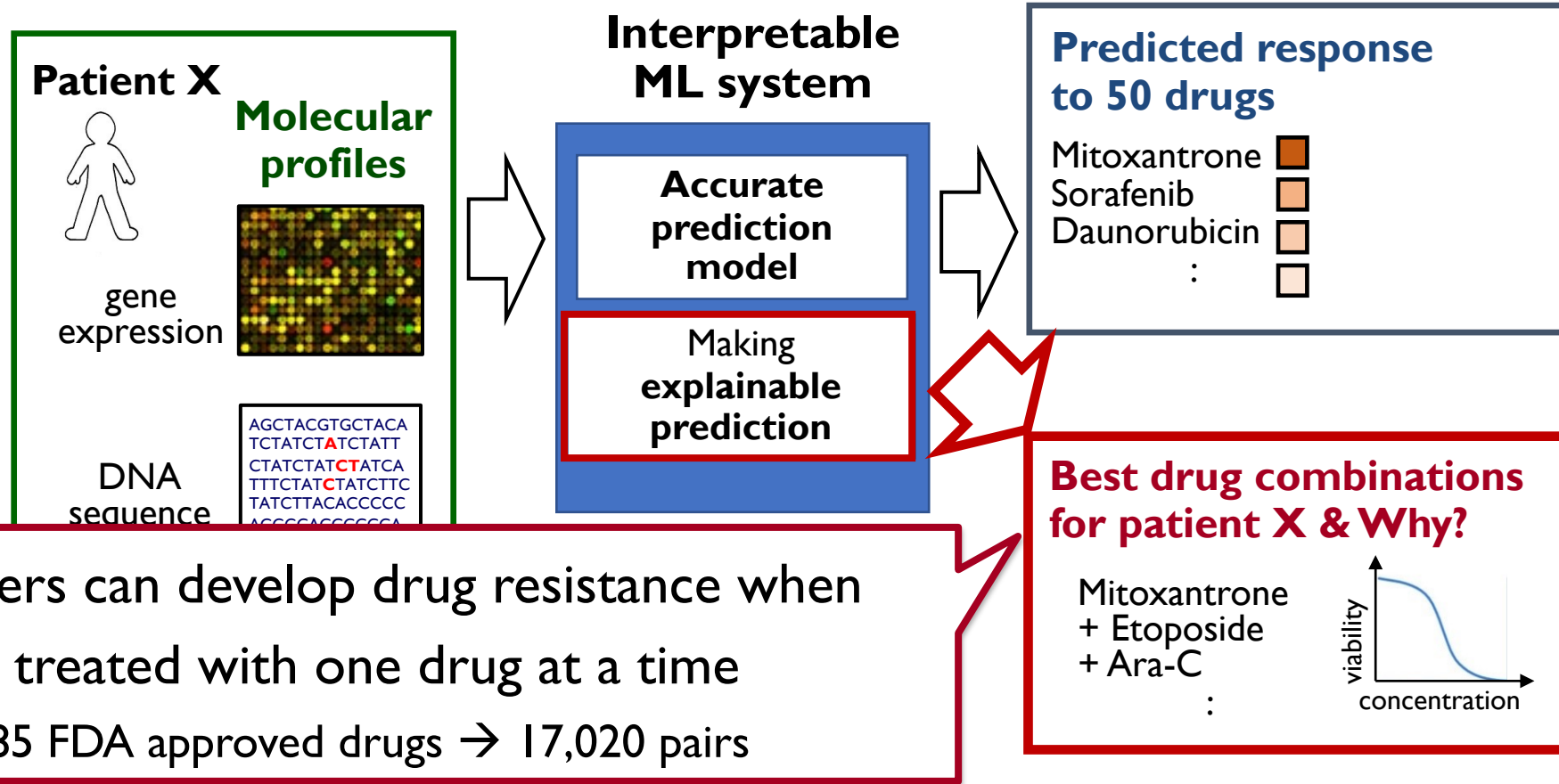
- Cancer of the blood and bone marrow cells
- 5 year survival rate: 26%

- Chemotherapy

- >100 anti-cancer drugs (62 FDA approved)
- Standard therapy is not personalized.

- Cancers can develop drug resistance when being treated with one drug at a time

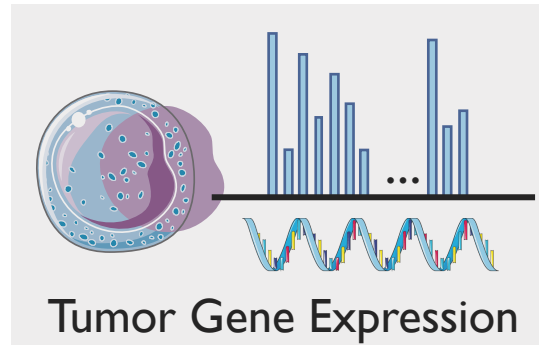
- 185 FDA approved drugs → 17,020 pairs



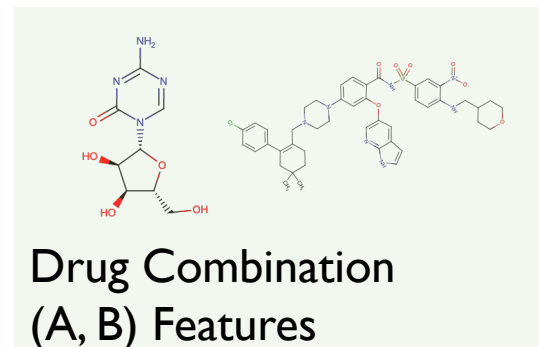
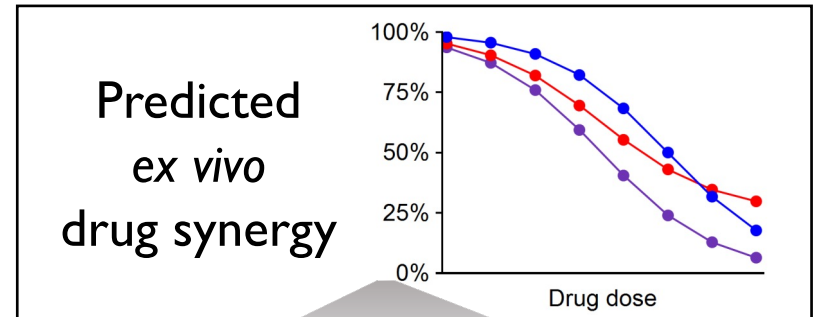
# EXPRESS: Explainable prediction of drug synergy in AML



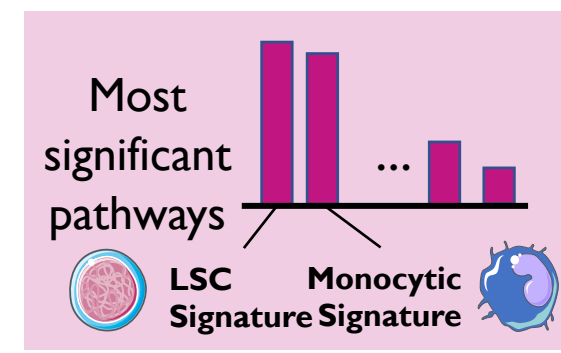
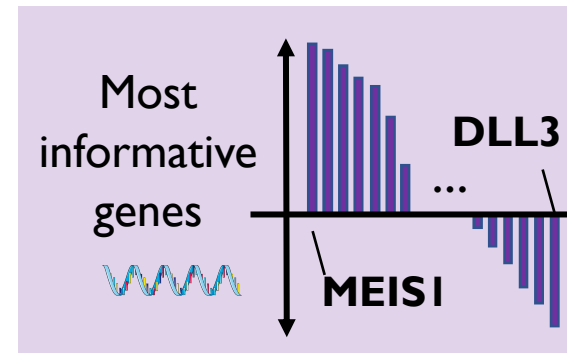
MGH/Harvard  
Prof. Kamila  
Naxerova



EXPRESS



*Explanations for each prediction*

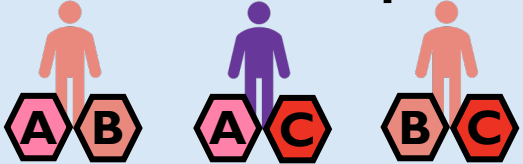


Joseph Janizek, et al. **Explainable AI reveals HSC-like expression signature as relevant to drug synergy in AML.**

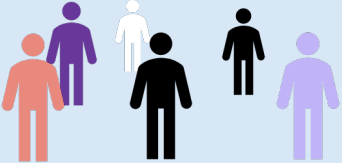


# Training data for EXPRESS: Beat AML data (Tyner et al. Nature 2018)

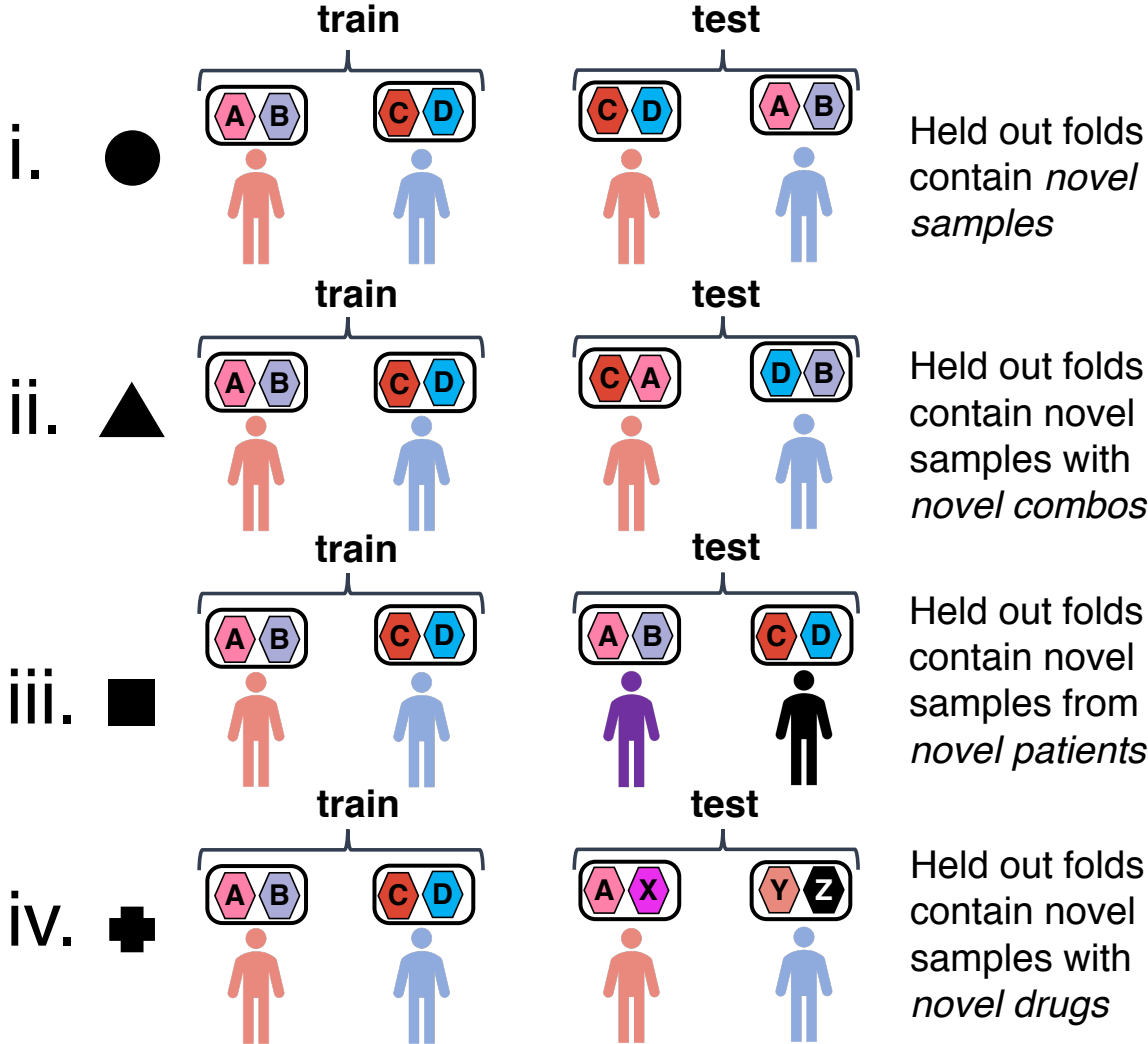
12,362 Samples



285 Patients



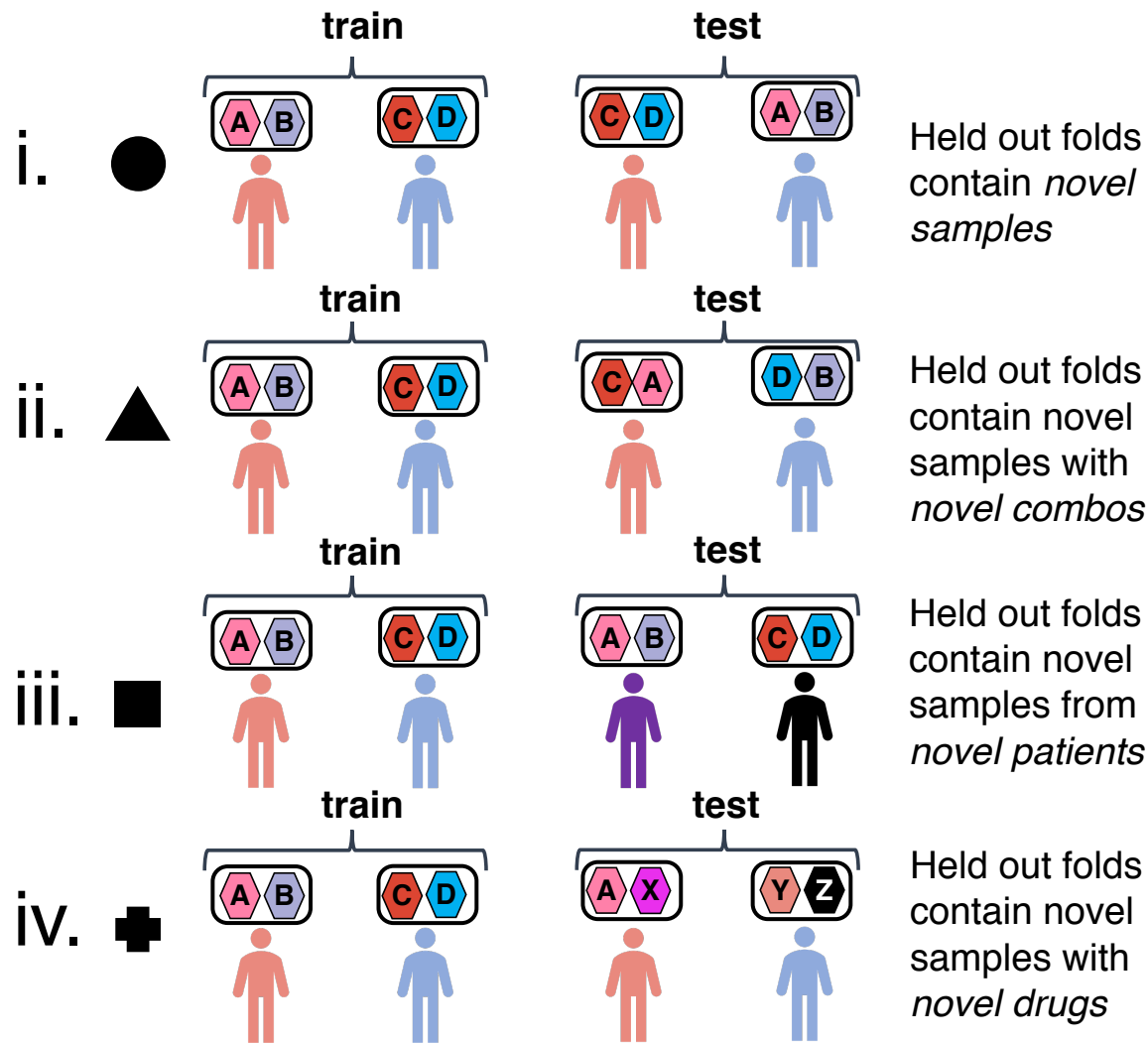
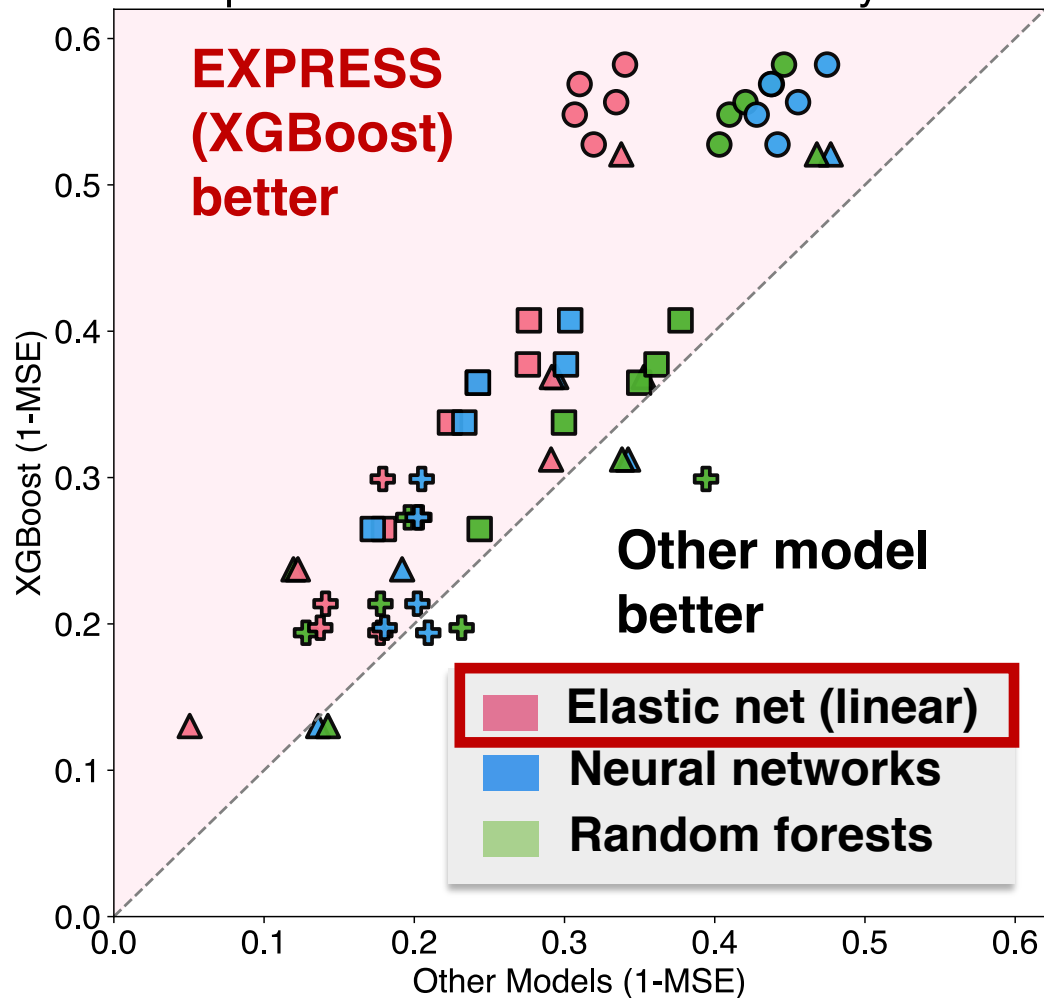
133 Combos of 48 Drugs



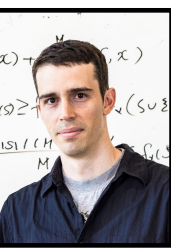
Increasing difficulty of task

# Complex non-linear models more accurately predicts drug synergy in held-out data

Comparison of Model Performance by 1-MSE



# Our solution is to make a prediction with explanations



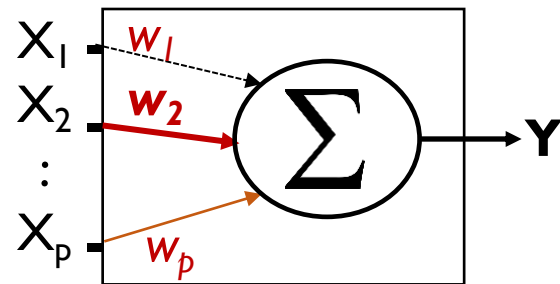
Scott

Eliminating the accuracy vs. interpretability tradeoff  
⇒ Broader applicability of ML to biomedicine

- Accuracy vs. interpretability
  - Simple models often lead to lower performance.
  - Complex models are often considered to be a black box.

## Linear model

**X**: Features **Y**: Outcome



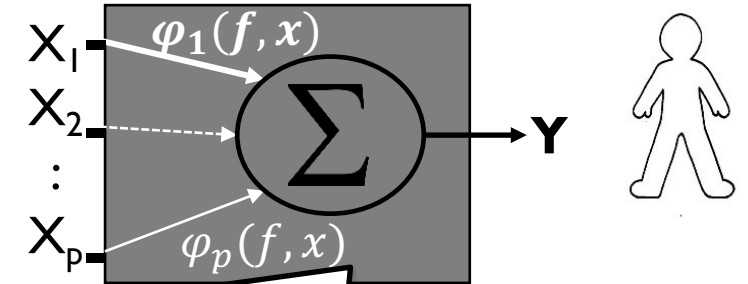
## Complex model $f(\cdot)$

**Black Box**



## Our approach, SHAP

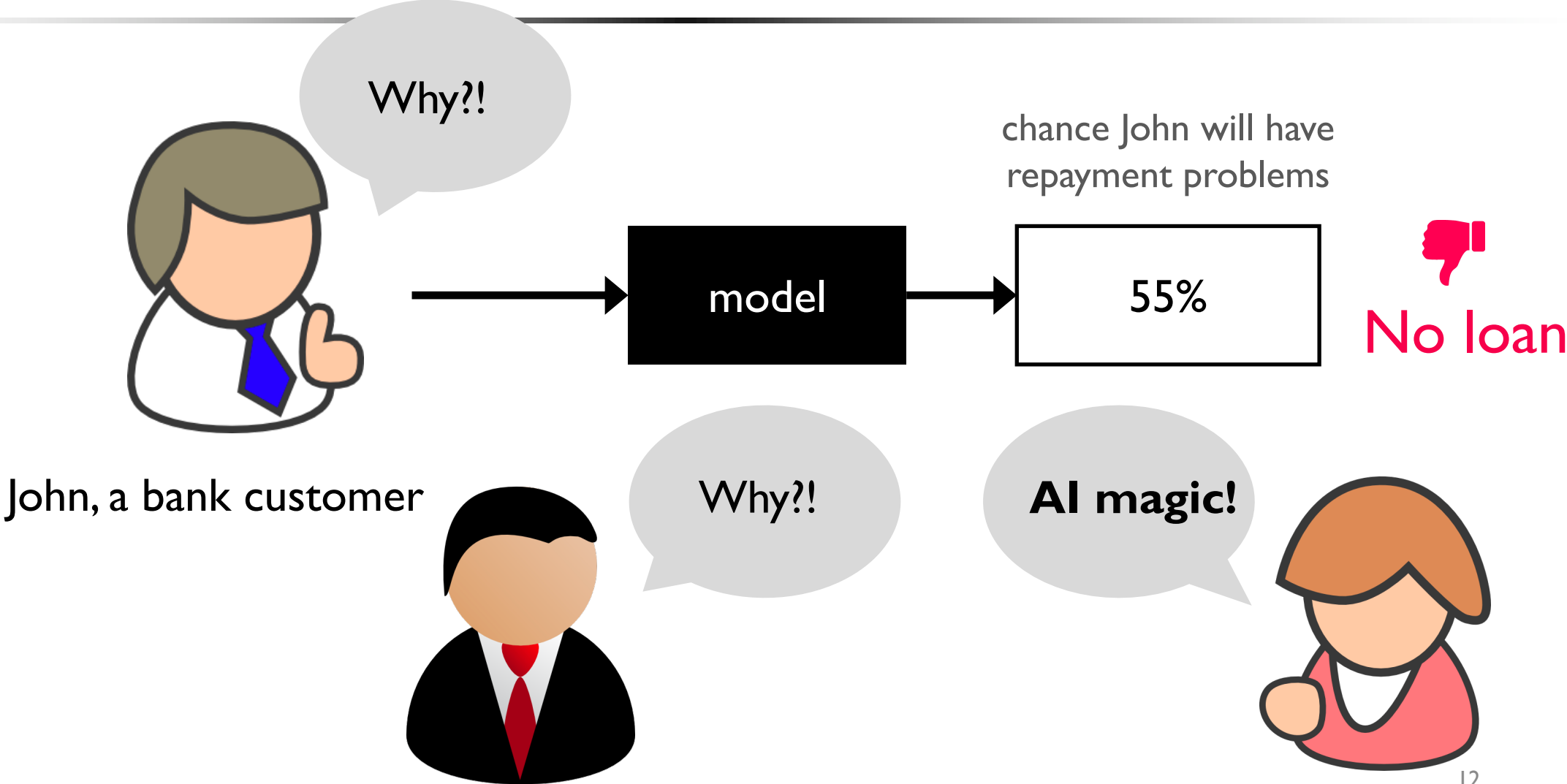
For a particular prediction



- SHAP can estimate feature importance for a particular prediction for any model.

# How exactly can we estimate feature importance?

## – SHapley Additive exPlanation (SHAP) values



# How exactly can we estimate feature importance?

- SHapley Additive exPlanation (SHAP) values



Base rate

Prediction for John

20%

55%

0

$E[f(x)]$

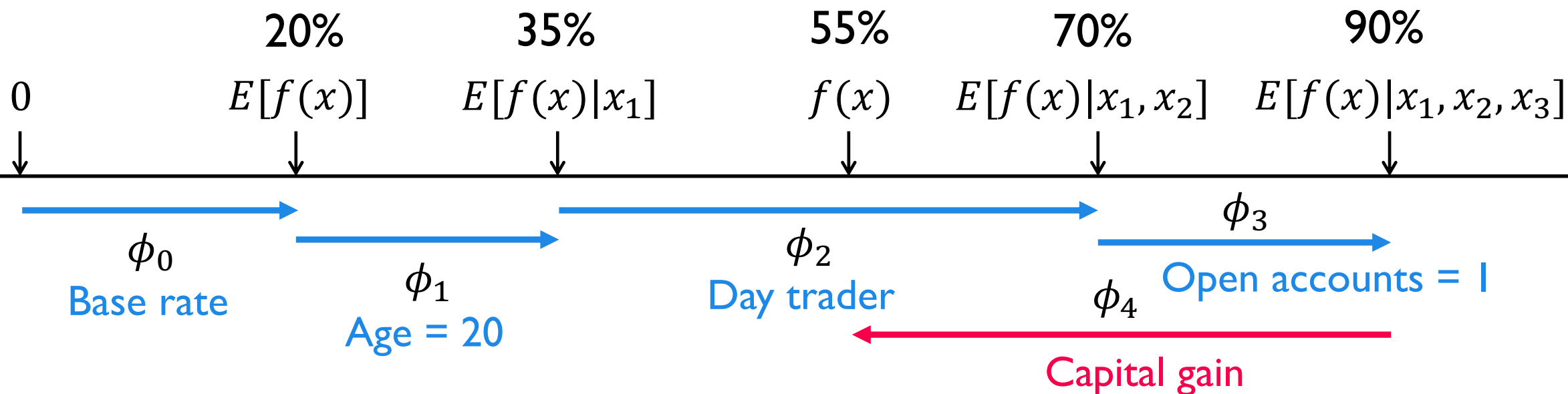
$f(x)$



How did we get here?

# How exactly can we estimate feature importance?

## – SHapley Additive exPlanation (SHAP) values



# How exactly can we estimate feature importance?

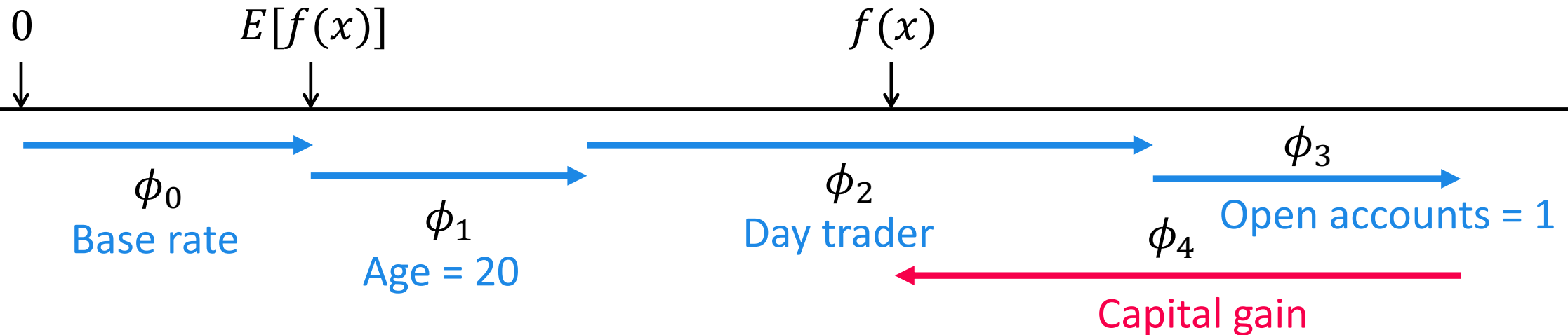
- SHapley Additive exPlanation (SHAP) values

The order matters!

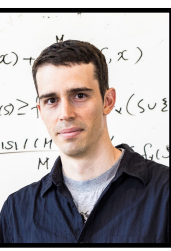
SHAP values result from averaging over all  $N!$  possible orderings

They are the only solution that satisfies three important properties

**We need to develop efficient methods to estimate or compute exact SHAP values.**



# Providing explainable prediction improves anesthesiologist's ability to predict hypoxemia



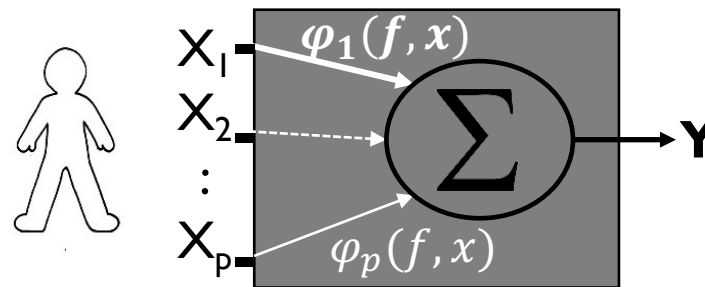
Scott

nature  
biomedical  
engineering

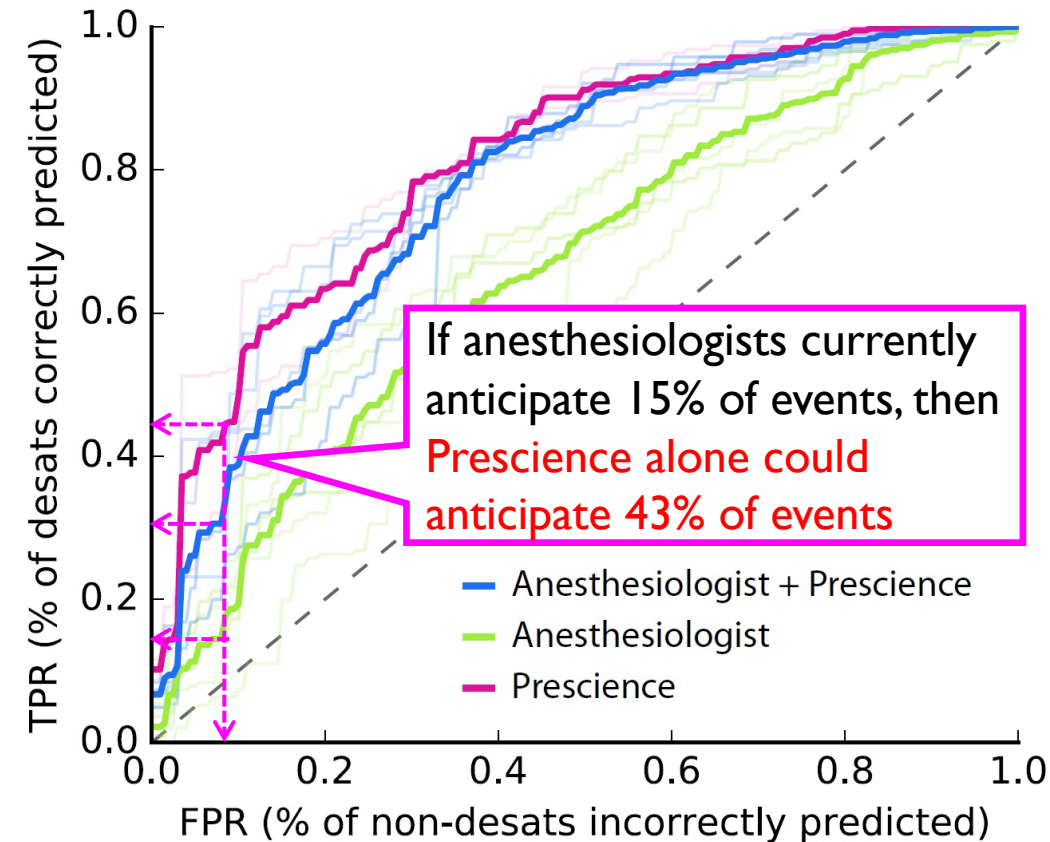
- Our *Prescience* method predicts hypoxemia in the next 5 minutes and provides explanations in real time.

## Our approach, SHAP

For a particular prediction



## Real-time hypoxemia prediction



Explainable AI predicts blood-oxygen levels during anaesthesia

Scott M. Lundberg, Bala Nair, Monica S. Vavilala, Mayumi Horibe, Michael J. Eisses, Trevor Adams, David E. Liston, Daniel King-Wai Low, Shu-Fang Newman, Jerry Kim, and Su-In Lee. **Explainable machine-learning predictions for the prevention of hypoxaemia during surgery.** *Nature BME* 2, 749–760 (Oct 2018) - **Featured on the Cover; cited >150 times over 2 years**



# Making tree ensembles interpretable

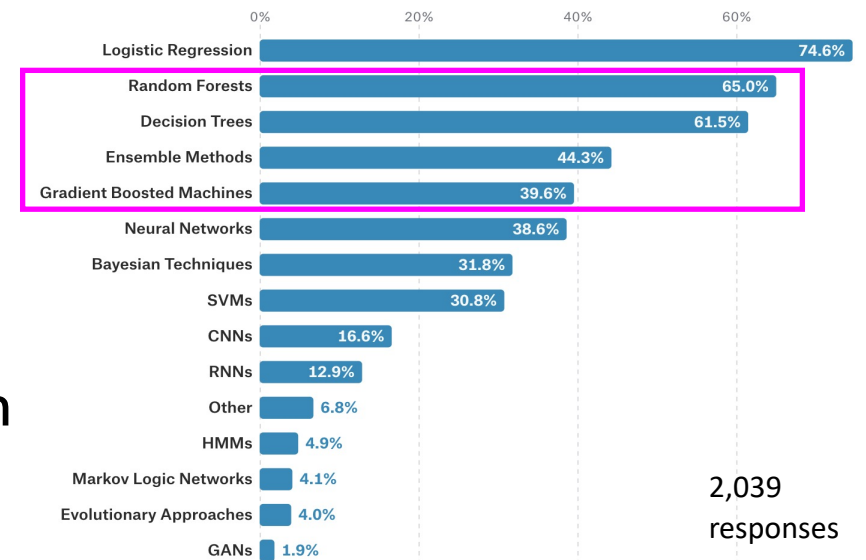
## ■ Why tree ensembles?



Tree models

- Gradient Boosted Trees and Random Forests are widely used state-of-the-art models.
  - Over half (17/29) of all Kaggle competition winners in 2015 used XGBoost (Chen and Guestrin).
- Tree SHAP reduces the exact computation of SHAP values from exponential to polynomial time.

Direct Solution  $O(TL2^M)$  Exponential  
to  
Tree SHAP  $O(TLD^2)$  Polynomial



# Explainable AI for trees

(<https://github.com/slundberg/shap>)

nature  
machine  
intelligence

Chronic kidney disease

Mortality

Surgery duration

:



Tree explainer



Google Cloud



PORTLAND  
TRAIL BLAZERS



Microsoft



Cleveland Clinic




BANK OF ENGLAND

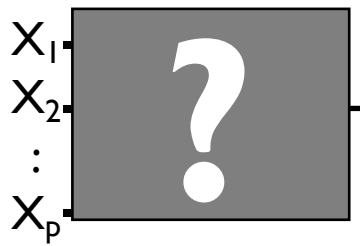
Scott Lundberg and Su-In Lee. **A Unified Approach to Interpreting Model Predictions.** *NeurIPS (2018)* Oral presentation (top 1%), *NeurIPS workshop on Interpretable ML (2016)* – **Best paper award**

Scott Lundberg, Gabe Erion, Hugh Chen, Alex DeGrave, [...], and Su-In Lee. **Explainable AI for Trees: From Local Explanations to Global Understanding.** *Nature Machine Intelligence (2020)* as a cover article of the January issue

# Using SHAP values as building blocks for interpretable ML – SHAP summary plot

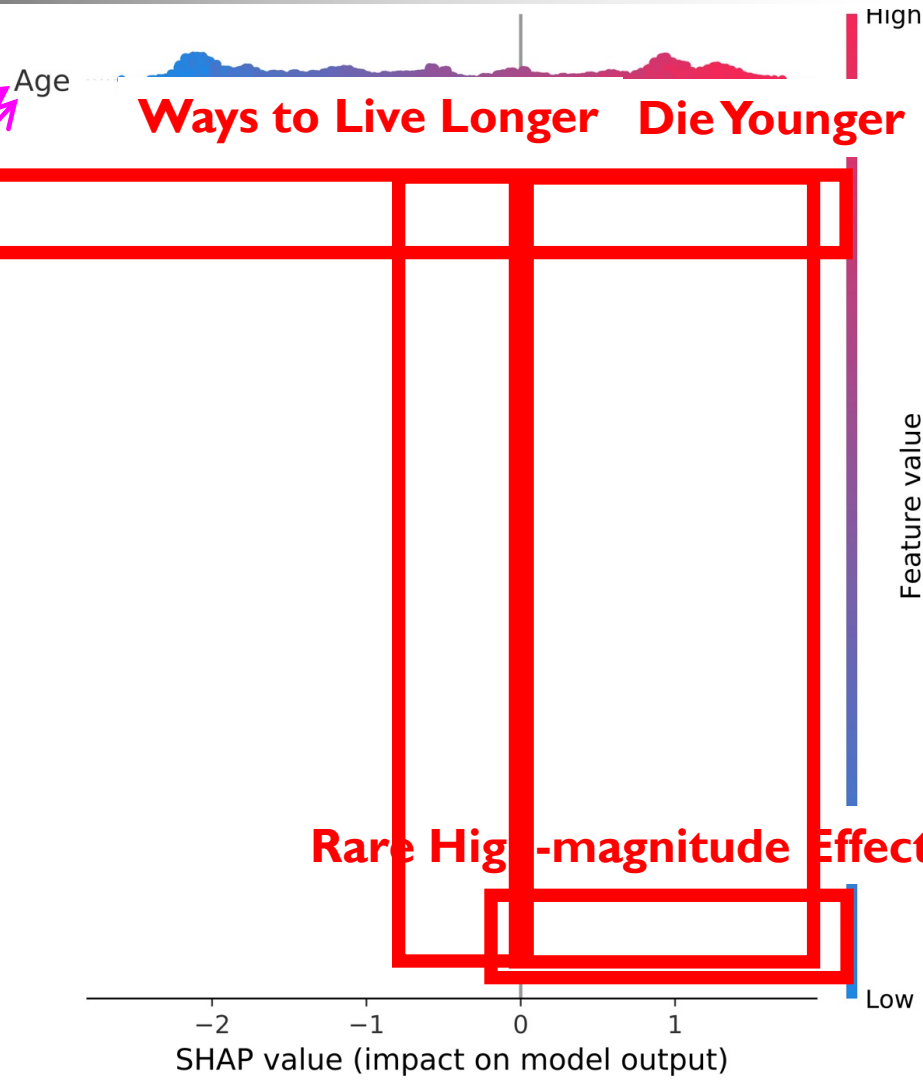
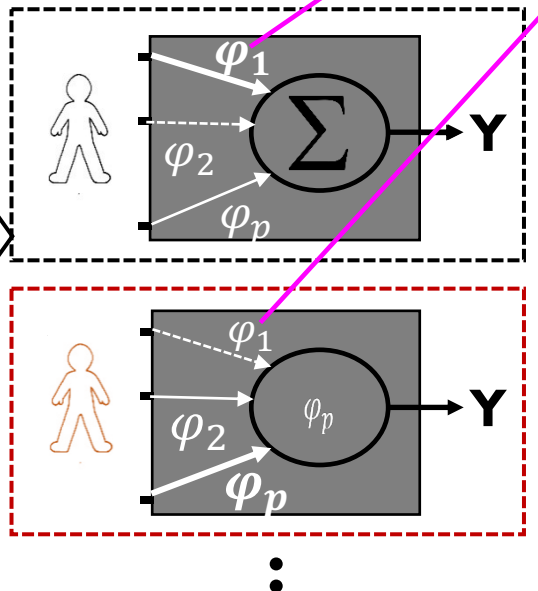
- NHANES I** 
  
 National Health & Nutrition Examination Survey
  - X: 59 common measurements
  - Y: Mortality

**XGBoost**  
(Cox Proportional Hazards model)



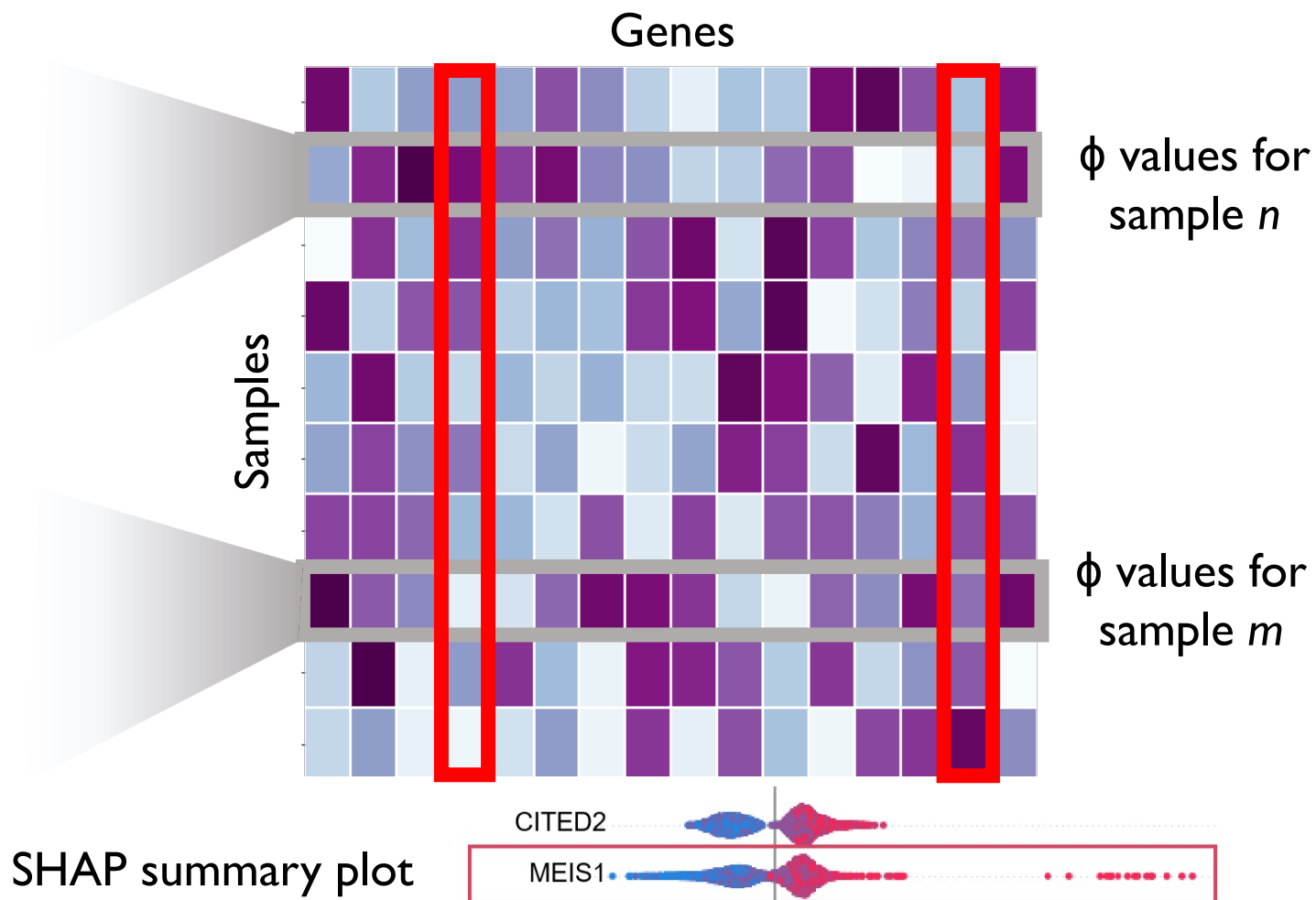
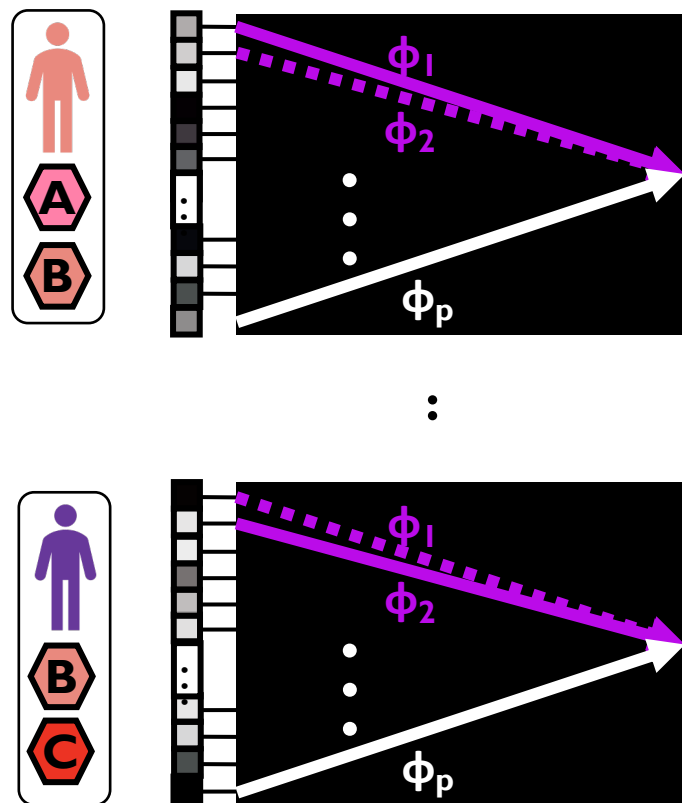
9,932 individuals

**SHAP**

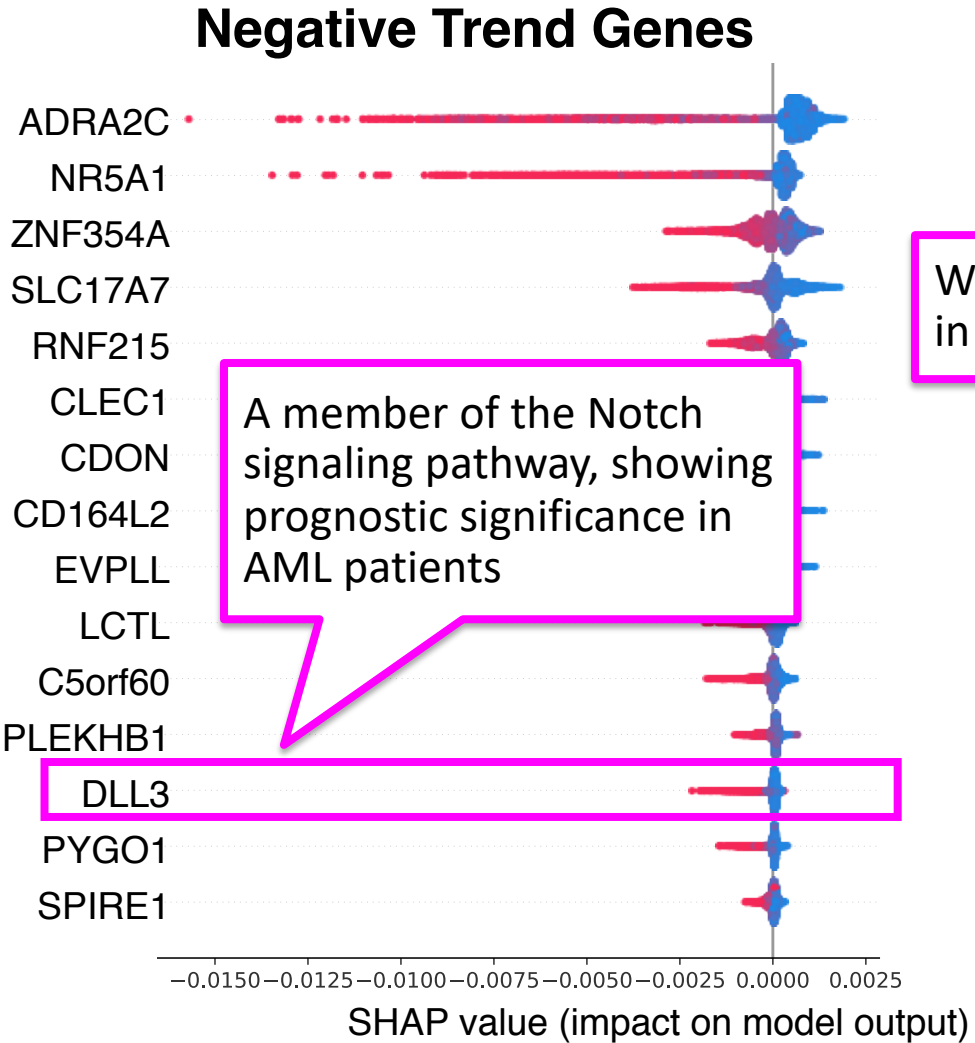
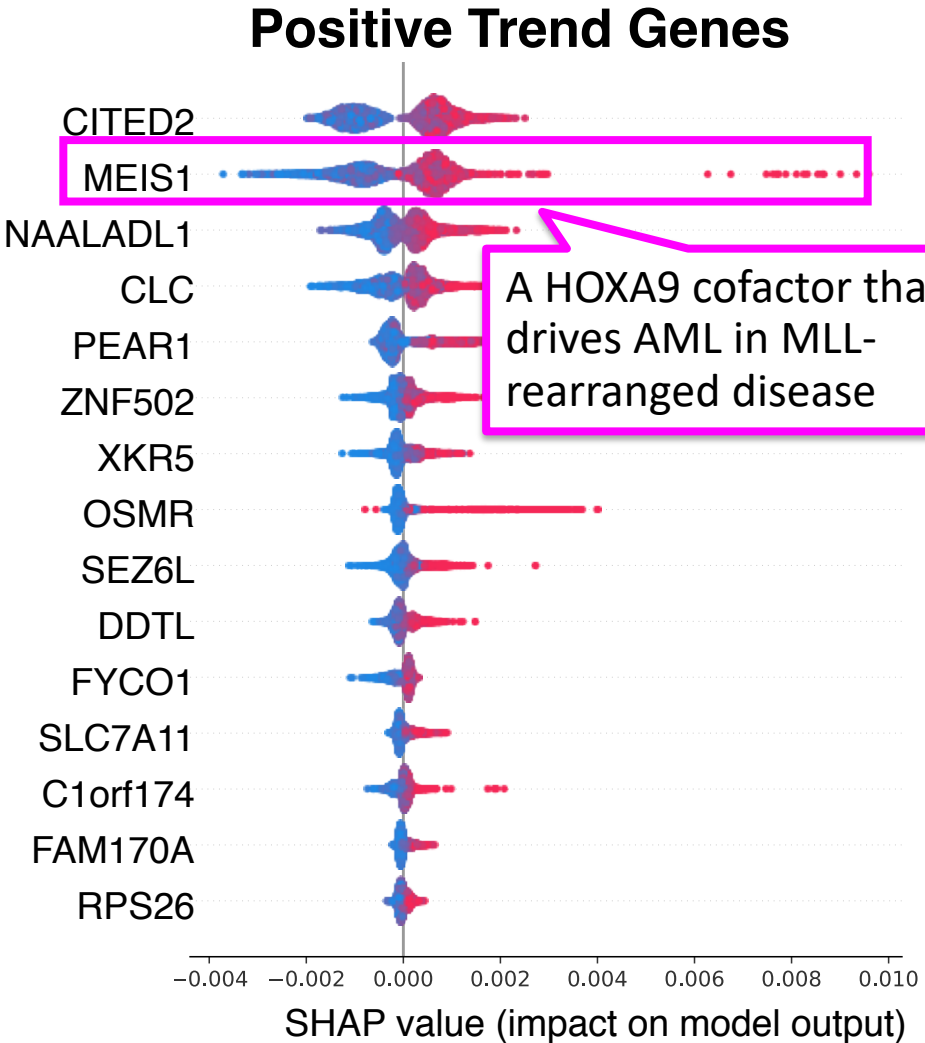


# EXPRESS: Explainable prediction of anti-cancer drug synergy

SHAP values  $\phi$ s for gene expression features



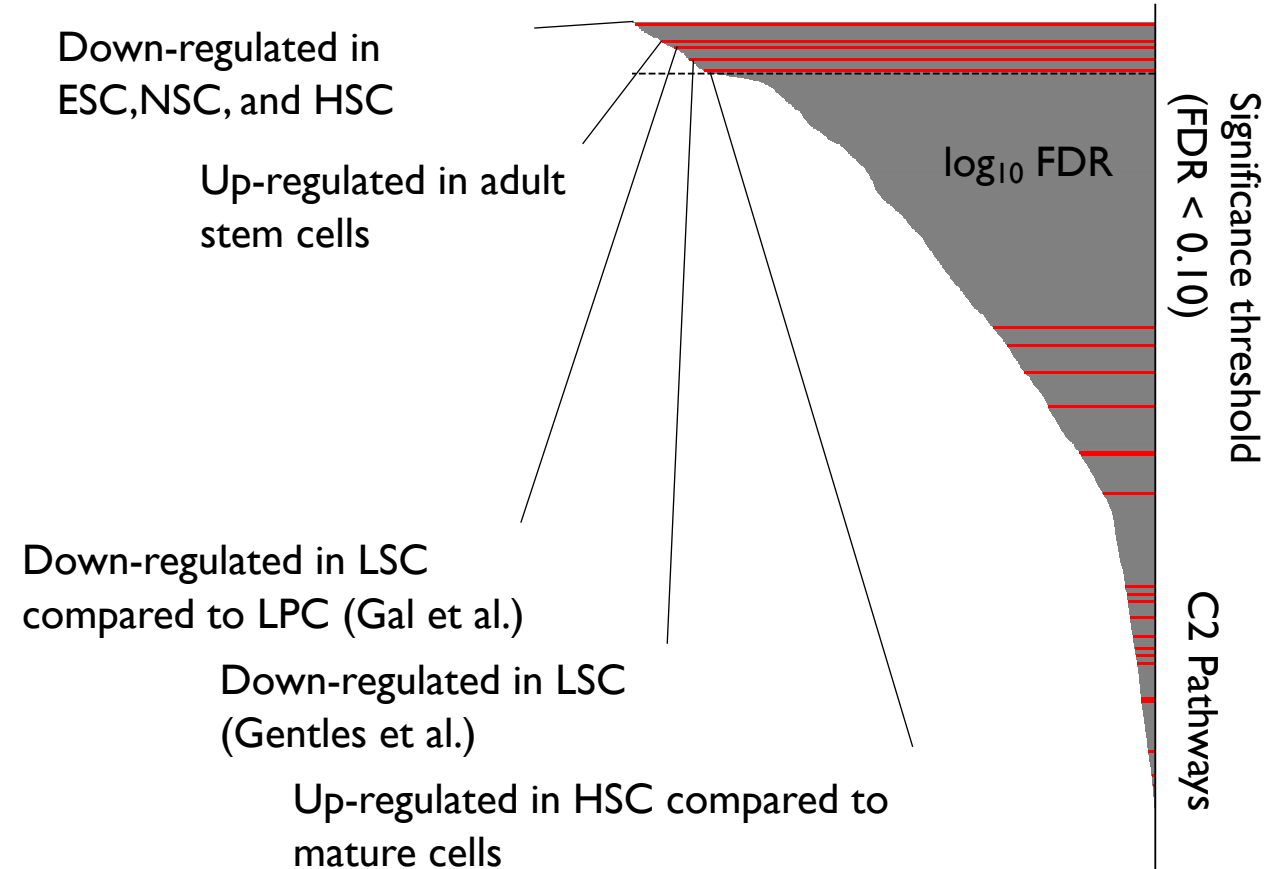
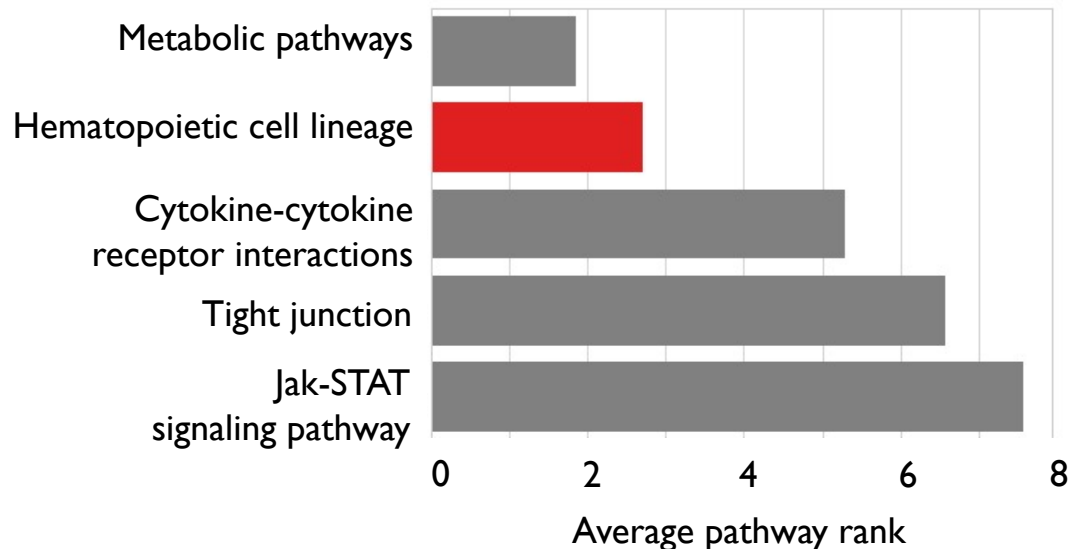
# Genes that are important to drug synergy in AML



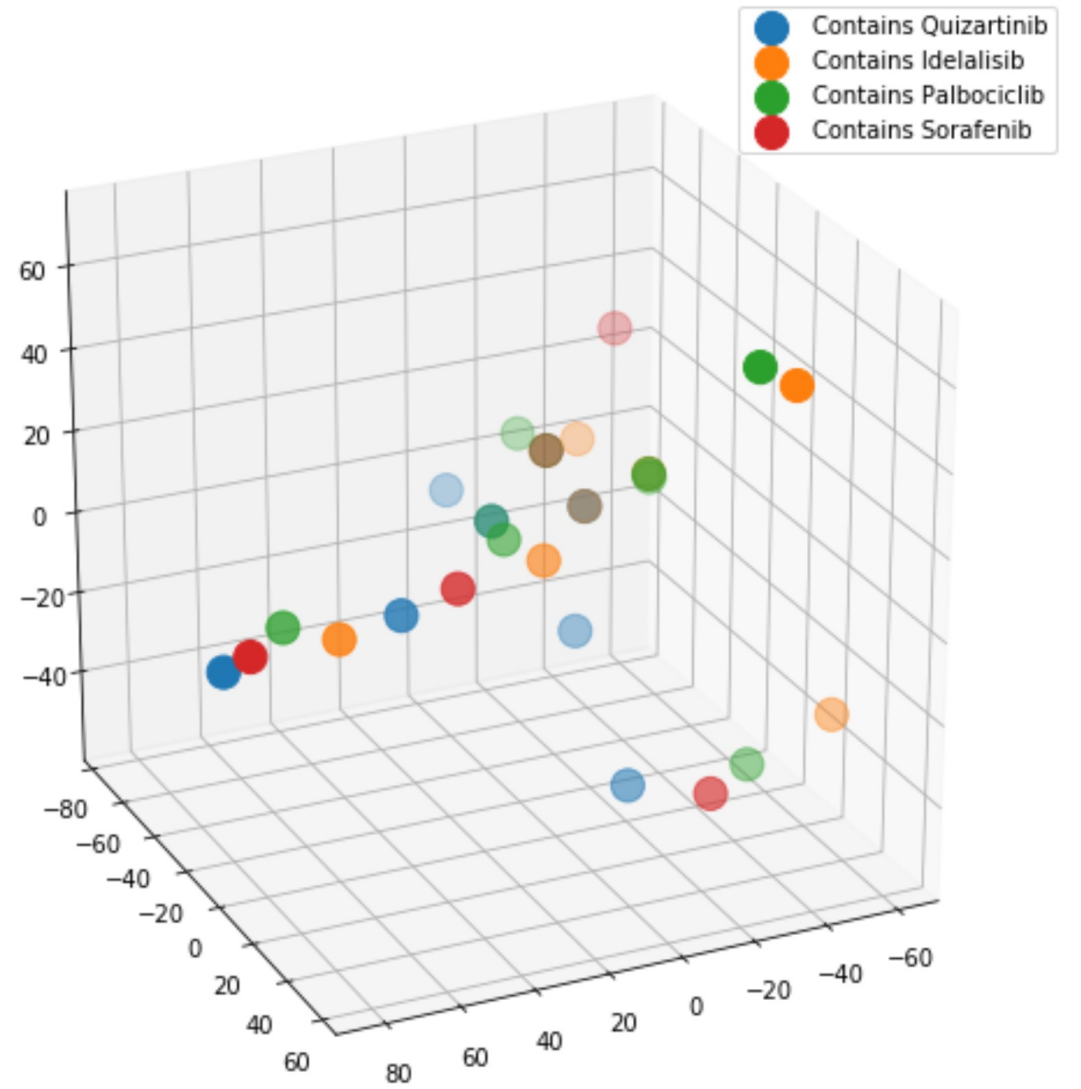
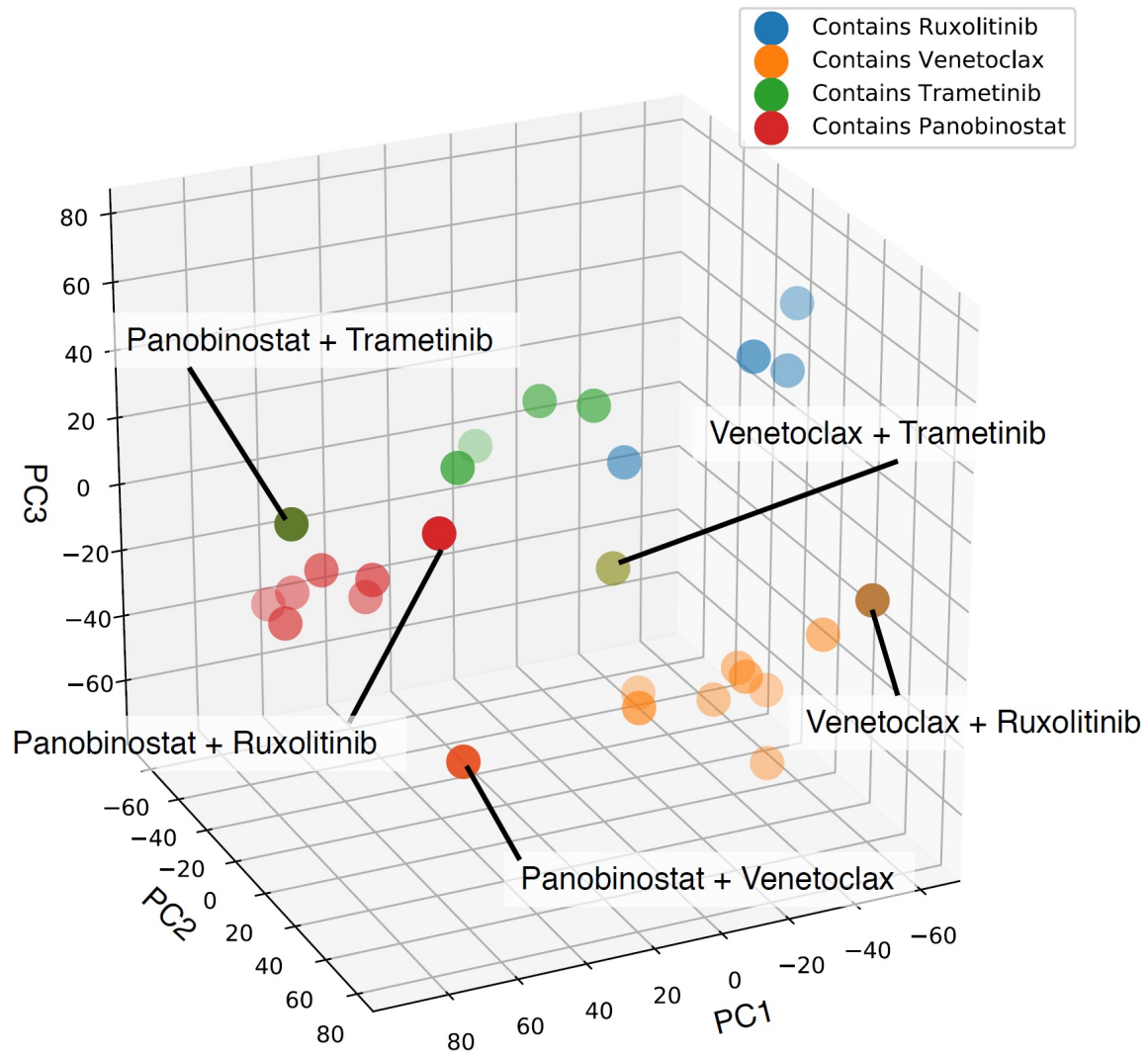
What do they have in common?

# Hematopoietic stem cell (HSC)-like expression signature is important for drug synergy

- Pathways enriched in the top-ranked genes
  - Potential mechanistic explanation of anti-cancer drug synergy
- Drug- or combo-specific analysis reveals previously unknown characteristics of drugs.



# Drug-specific analysis





# Explainable AI for biology and health

Today's talk

SHAP, Tree SHAP, Deep SHAP, expected gradients, & attribution priors

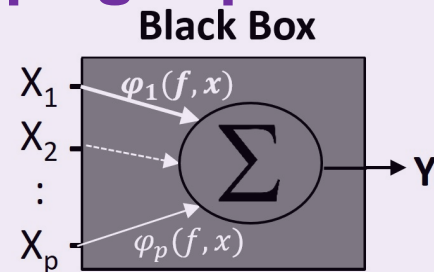
**Cancer biology and precision medicine**

**Alzheimer's disease therapeutic target discovery**

Developing explainable AI techniques

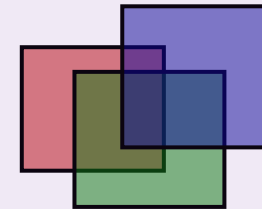
## Conclusions:

- Complex models are useful to capture non-linear interactions.
- We need new methods to make biological sense.



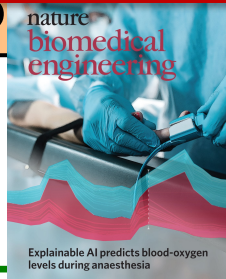
interpretable predictions

Explanation priors



Learn explainable models

beside app



Basic science



Lee\*, Celik\*, et al. (2018)  
*Nature Communications*

Lundberg et al. *Nature Biomedical Engineering* (2018) – **Featured on the cover**

Lundberg and Lee. *NeurIPS* (2017) – **Oral presentation (top 1%)**

Lundberg et al. *Nature Machine Intelligence* – **Featured on the cover**